

[Home](#) ▶ [News & announcements](#) ▶ [News](#) ▶ [Enforcement news](#)

SFC Prosecutes Lam Chung Fat, FIMAT Futures (HK) Limited and Publicly Reprimands Emmanuel D. Faure

19 Sep 2000

The Securities and Futures Commission (SFC) announced today that it has successfully prosecuted Mr Lam Chung Fat (also known as Eder Lam "Lam") and FIMAT Futures (HK) Ltd (FIMAT) for aiding and abetting Mr Lam in unregistered dealing between 28 March to 2 May 2000. Mr Lam and FIMAT were convicted on 19 September 2000 before Ms Polly Lo of Western Magistracy. They were fined \$7,000 & \$ 8,000 respectively and ordered to pay costs in the sum of \$9,150 to the SFC.

The SFC today publicly reprimanded Emmanuel Dominique Martial G. Faure (Faure), the dealing director of FIMAT following an inquiry under section 36 of the CTO. Faure had admitted he had been negligent in not properly supervising the activities of FIMAT's staff during the period 28 March to 2 May 2000.

In deciding to publicly reprimand Faure, the Commission took into account that Faure had provided full co-operation in the investigation.

Page last updated : 1 Aug 2012

[主頁](#) ▶ [新聞稿及公布](#) ▶ [新聞稿](#) ▶ [執法消息](#)

證監會檢控林仲發及飛馬期貨(香港)有限公司及公開譴責 Emmanuel D. Faure

2000年9月19日

證券及期貨事務監察委員會(證監會)今天公布,本會成功檢控林仲發(別名 Eder Lam)(林氏)及飛馬期貨(香港)有限公司(飛馬)。有關控罪指林氏及飛馬在2000年3月28日至5月2日期間,協助及教唆林氏在未獲註冊的情況下從事交易活動。林氏及飛馬於2000年9月19日在西區裁判法院被盧恒福裁判官裁定罪名成立,分別被罰款7,000元及8,000元,並且須向證監會支付調查費用9,150元。

證監會是在根據《商品交易條例》第36條就有關事宜進行查訊後,今天對飛馬的交易董事 Emmanuel Dominique Martial G. Faure (Faure)作出公開譴責。Faure承認他在2000年3月28日至5月2日期間,沒有妥善地監察飛馬職員的活動,實屬疏忽職責。

在決定公開譴責 Faure 時,證監會已考慮到他在調查期間充分合作這個因素。

最後更新日期:2012年8月1日