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## SFC issues Restriction Notice on Salisbury Securities Limited

19 Mar 2013

The Securities and Futures Commission (SFC) has issued a Restriction Notice to Salisbury Securities Limited (Salisbury Securities) prohibiting the firm from carrying on its regulated activities under the Securities and Futures Ordinance (SFO) and dealing with client assets until further notice (Note 1).

The purpose of the Restriction Notice is to protect existing assets of the firm including client assets. The Restriction Notice ensures that any assets currently held by Salisbury Securities are not transferred while inquiries continue.

Salisbury Securities is engaged principally in the business of securities trading and has about 100 clients.

The SFC will not be releasing any further information in relation to the case at this point.

End

Note:

1. The Restriction Notice is issued pursuant to sections 204 and 205 of the SFO. Salisbury Securities is a corporation licensed under the SFO to carry on Type 1 (dealing in securities), Type 4 (advising on securities), Type 6 (advising on corporate finance) and Type 9 (asset management) regulated activities.

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