

Court grants order compelling attendance of SFC investigation interview

26 Mar 2015

The Securities and Futures Commission (SFC) today obtained an order in the Court of First Instance against Mr Joe Chong Wai Moon, compelling him to attend an interview with the SFC in relation to investigations after he repeatedly failed to do so as required (Note 1).

Between August and October 2013, Chong rendered various excuses for his non-attendance after receiving five notices issued by the SFC to attend an interview and subsequently failed to attend the interview.

In granting the order, the court was satisfied that Chong has no reasonable excuse for not attending the interview.

Chong was ordered to attend an interview with the SFC on a specified date and answer any questions relating to the investigations that the investigator may raise with him, and to give the investigator all assistance in connection with the investigations which he is reasonably able to give. He was also required to pay the costs of the SFC's application to the court.

End

Note:

1. The notices requiring Chong to attend an interview was issued under section 183 of the Securities and Futures Ordinance in relation to three ongoing investigations into suspected market manipulation and false or misleading representations being made in certain applications to the SFC.

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