



## Press Releases

### **Ex-securities brokers charged by ICAC guilty of bribing computer company staff over trading programme service**

**2022-8-29**

Two former brokers of a securities firm, charged by the ICAC, were today (August 29) convicted at the District Court of offering bribes of about \$70,000 and gifts worth over \$12,500 to staff of a computer company for arranging the provision of services to the duo's clients by a computer trading programme.

Lam Ching-chiu, 40, and Wong Siu-fung, formerly known as Wong Sze-lap, 36, both former securities brokers of Glory Sky Global Markets Limited, were respectively found guilty of one count and two counts of offering an advantage to an agent, contrary to Section 9(2)(a) of the Prevention of Bribery Ordinance.

Deputy District Judge Mr Terence Wai adjourned the case to September 8 for mitigation and sentence. The duo were remanded in custody of the Correctional Services Department.

The court heard that at the material time, Hong Kong Financial Engineering Company Limited (HK Financial Engineering) provided securities account management service by way of a computer programme which would automatically place orders for buying or selling futures in order to generate profits within a short period of time. HK Financial Engineering would charge their clients service fees out of the profit generated from the computer programme.

At that time, Wong was a subordinate of Lam. Between late 2014 and early 2015, Wong promoted the above computer programme of HK Financial Engineering to two clients of himself and Lam. The two clients subsequently used the relevant programme.

In December 2014, the then chief executive officer of HK Financial Engineering asked the two defendants to pay a rebate per transaction to its staff who handled the computer programme for the duo's clients. The duo agreed to pay the relevant rebates in the hope that staff of HK Financial Engineering would continue to provide services to their clients.

The court heard that Lam subsequently paid about \$60,000 to \$70,000 to the above chief executive officer of HK Financial Engineering. In addition, Wong also offered cosmetic products worth totalling \$12,500 and a mobile phone to the chief executive officer upon the latter's solicitation.

HK Financial Engineering did not allow its staff to accept advantages from the defendants in relation to its business and affairs.

The ICAC investigation arose from a corruption complaint. HK Financial Engineering had rendered full assistance to the ICAC during its investigation into the case.

The prosecution was today represented by prosecuting counsel Eddie Sean, assisted by ICAC officer Swing Chan.

[Back to Index](#)



## 新聞公佈

# 前證券經紀行賄電腦公司職員涉期貨交易程式服務遭廉署起訴罪成候判

2022年8月29日

廉政公署早前落案起訴兩名證券公司前經紀，控告他們向電腦公司職員提供賄款約70,000元及價值逾12,500元的禮物，以安排該電腦公司為二人的客戶提供期貨交易電腦程式服務。兩名被告今日(8月29日)在區域法院被裁定罪名成立。

林靖釗，40歲，及黃肇鋒(前稱黃詩立)，36歲，案發時同為灝天環球投資有限公司證券經紀，分別被裁定一項及兩項向代理人提供利益罪名成立，違反《防止賄賂條例》第9(2)(a)條。

區域法院暫委法官韋漢熙將案件押後至9月8日求情及判刑。二人暫時還押懲教署看管。

案情透露，案發時香港金融工程有限公司(香港金融工程)以一個電腦程式為客戶提供期貨戶口管理服務。有關程式會自動下單買入或賣出期貨，務求在短時間內為客戶賺取利潤。香港金融工程會從客戶所得利潤中收取服務費。

案發期間，黃肇鋒是林靖釗的下屬。於2014年底至2015年初，黃肇鋒向他本人和林靖釗的兩名客戶推銷香港金融工程上述電腦程式。該兩名客戶其後使用有關程式並獲利。

一名香港金融工程時任行政總裁於2014年12月，要求兩名被告向為其客戶處理上述電腦程式的香港金融工程員工，就每筆交易支付回佣。二人希望香港金融工程員工繼續為其客戶提供服務，遂答應支付有關回佣。

其後，林靖釗向上述香港金融工程行政總裁支付約60,000元至70,000元。黃肇鋒亦應該行政總裁要求，向對方提供價值約12,500元的化妝品，以及一部手提電話。

香港金融工程並不容許其職員就公司的業務和事務從被告收取利益。

廉署早前接獲貪污投訴遂展開調查。香港金融工程在廉署調查案件期間提供協助。

控方今日由控方大律師單偉琛代表出庭，並由廉署人員陳世永協助。

[返回目錄](#)