

NOTICE UNDER SECTIONS 204 AND 205 OF THE SECURITIES AND
FUTURES ORDINANCE (Chapter 571) (‘the ‘Ordinance’)

It appears to the Securities and Futures Commission (the "**Commission**"), for the reasons set out in the Statement of Reasons of this date, that the Commission should exercise the powers conferred by sections 204 and 205 of the Ordinance.

THE COMMISSION HEREBY GIVES NOTICE THAT:

Except with the prior written consent of the Commission, such consent to be granted by the Chief Executive Officer or the Executive Director of Enforcement of the Commission:

1. Pursuant to section 204 of the Ordinance, Hong Kong Wan Kiu Investment Company Limited (the "**Specified Corporation**") is prohibited from carrying on any business, whether directly or through agents, which constitutes regulated activities for which it is licensed by the Commission.
2. Under section 205(1) of the Ordinance, the Specified Corporation is prohibited from
 - (a) disposing of or dealing with any relevant property (as defined in section 205(2) of the Ordinance) in any manner; and
 - (b) assisting, counselling or procuring another person to dispose of any relevant property or deal with any relevant property.

Pursuant to the provisions of section 208 of the Ordinance, the Specified Corporation may apply to the Commission for the prohibitions imposed by the Notice to be withdrawn, substituted or varied.

Under section 217 of the Ordinance, an application may be made to the Securities and Futures Appeals Tribunal for a review of the Commission's decision to impose the prohibitions imposed by this Notice. Such application must be made within twenty-one days after the day on which this Notice is served on the Specified Corporation.

This notice takes effect at the time of service upon the Specified Corporation.

Ashley Alder
Chief Executive Officer

For and on behalf of
Securities and Futures Commission

Dated this 5th day of November 2019