Home ▶ News & announcements ▶ News ▶ Enforcement news

SFC Successfully Prosecutes Premier Capital Limited and Philip Leung Kin Lap for Issuance and Possession of Unauthorised Advertisements and Promotional Documents in relation to Investment Arrangements

15 Aug 2000

The Securities and Futures Commission (SFC) announces today that it has successfully prosecuted Premier Capital Limited and its managing director Philip Leung Kin Lap (Philip Leung) for the issuance and possession of unauthorised advertisements and promotional documents. The advertisements and promotional documents which invited members of the public to take part in investment arrangements relating to properties had been issued to the public without having been authorised by the SFC.

Both Premier Capital Limited and Philip Leung pleaded guilty before Polly Lo to a total of 10 summonses for breaching Section 4 of the Protection of Investors Ordinance. They were fined a total of \$75,000 and ordered to pay investigation costs of \$26,227 to the SFC.

Page last updated: 1 Aug 2012

主頁 ▶ 新聞稿及公布 ▶ 新聞稿 ▶ 執法消息

證監會成功檢控美亞置業投資有限公司及梁健立,指他們發出及管有與投資安排有關的未經認可廣告及推廣文件

2000年8月15日

證券及期貨事務監察委員會(證監會)今天公布,本會成功地檢控美亞置業投資有限公司及其董事總經理梁健立(梁氏),指他們發出及管有未經認可的廣告及推廣文件。這些邀請公眾人士參與涉及物業的投資安排的廣告及推廣文件,在發出予公眾人士之前並沒有得到證監會的認可。

美亞置業投資有限公司及梁氏均向裁判官盧恒福承認總共10項違反《保障投資者條例》第4條的控罪。他們被判處罰款合共75,000元,及被法院下令須向證監會支付調查費用26,227元。

最後更新日期: 2012年8月1日