Home ▶ News & announcements ▶ News ▶ Enforcement news

SFC Prosecutes Siu Tit Lung, Siu Yuk Lung and Leung Tze Hang David for Contravening the Securities (Disclosure of Interests) Ordinance

15 Sep 2000

The SFC announced today that under the Securities (Disclosure of Interests) Ordinance, it had successfully prosecuted Siu Tit Lung and Siu Yuk Lung (the Siu brothers), both directors of Lung Kee (Bermuda) Holding Limited (Lung Kee), and Leung Tze Hang David (Leung), director of 401.com Limited.

The case against the Siu brothers related to their exercising of 800,000 Lung Kee shares on 16 December 1999 for which they had not reported their changes in shareholding to the Stock Exchange of Hong Kong Ltd. until 20 January 2000.

In Leung's case, although he had become aware on or about 12 May 1999 that he ceased to have an interest in 13,200,000 shares in 401.com Limited held in the name of Surplus Properties Limited, a company over which Leung exercises control, he had not reported his change in shareholding to the Stock Exchange of Hong Kong Ltd. until 11 December 1999.

Ms. Polly Lo, a Magistrate at Western Magistracy, fined each of Siu Yuk Lung and Siu Tit Lung \$5,000 and ordered them to pay costs of \$4,454 each to the SFC. She also fined Leung \$4,000 and ordered him to pay costs of \$21,123 to the SFC.

Page last updated: 1 Aug 2012

主頁 - 新聞稿及公布 - 新聞稿 - 執法消息

證監會成功檢控邵鐵龍、邵玉龍及梁子亨違反《證券(披露權益)條例》

2000年9月15日

證監會今天宣布,本會已根據《證券(披露權益)條例》,成功檢控同屬龍記(百慕達)集團有限公司(龍記)董事的邵鐵龍及邵玉龍(邵氏兄弟),以及浩亨控股有限公司董事梁子亨(梁氏)。

有關邵氏兄弟的個案涉及二人於1999年12月16日行使800,000股龍記股份後,直至2000年1月20日才將有關股權的變動向香港聯合交易所有限公司作出申報。

在梁氏的個案中,儘管梁氏已於1999年5月12日或該日左右得悉,其不再擁有透過其控制的 Surplus Properties Limited 持有的13,200,000股浩亨控股有限公司股份的權益,但卻直至1999年12月11日才將有關股權變動向香港聯合交易所有限公司作出申報。

西區裁判法院盧恆福裁判法官判處邵鐵龍及邵玉龍各罰款5,000元,以及須各向證監會支付4,454元的調查費用。盧恆福裁判法官亦判處梁氏罰款4,000元,以及須向證監會支付21,123元的調查費用。

最後更新日期: 2012年8月1日