

5 June 2001

**SFC Prosecutes Mr Tsoi Ka Chi, Morris and Mr Shaw Wen Fei  
for Contravening the Securities (Disclosure of Interests) Ordinance**

The Securities and Futures Commission (SFC) announced today that under the Securities (Disclosure of Interests) Ordinance (SDIO), it had successfully prosecuted Mr Tsoi Ka Chi, Morris (Tsoi) and Mr Shaw Wen Fei (Shaw), both directors of O2 New Technology Limited (O2).

The two were appointed directors of O2 on 28 June 2000 and on that day each had interests in 233.65 million shares and 106.49 million shares of O2, respectively.

Pursuant to S.28 of SDIO, they should have notified the Stock Exchange of Hong Kong Limited (SEHK) of their interests in O2 shares on or before 3 July 2000. But the SEHK only received their written notifications on 14 August 2000, a delay of 41 days.

Ms Polly Lo, a Magistrate at Western Magistracy, fined Tsoi \$6,000 and Shaw \$5,000 and ordered them to pay costs of \$4,400 each to the SFC.

*Page last updated: 7 November 2001*

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## 執法行動

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2001年6月5日

### 證監會檢控蔡嘉池及蕭文飛違反《證券(披露權益)條例》

證券及期貨事務監察委員會(證監會)今天公布,本會已根據《證券(披露權益)條例》成功檢控身為兩儀科技有限公司(兩儀)董事的蔡嘉池(蔡氏)及蕭文飛(蕭氏)。

上述兩人於2000年6月28日獲委任為兩儀的董事。當日,蔡氏及蕭氏兩人分別擁有233,650,000股及106,490,000股兩儀股份的權益。

按照《證券(披露權益)條例》第28條的規定,蔡氏及蕭氏應在2000年7月3日或之前,向香港聯合交易所(聯交所)申報他們就兩儀股份所擁有的權益。然而,聯交所在比原定時間延遲了41天,即在2000年8月14日才接獲他們的書面通知。

西區裁判法院裁判官盧恆福分別判處蔡氏罰款6,000元及蕭氏罰款5,000元,並下令他們須分別向證監會支付4,400元的調查費用。