

## SFC Reprimands Ms Fan Man Seung Vanessa

15 Nov 2001

- The SFC announced today that it had publicly reprimanded Ms Fan Man Seung Vanessa (Fan), the dealing director of Emperor Securities Limited, for her failure :
  - to make sufficient inquiry in respect of the independence of prospective subscribers to the Dong Jian Group Holdings Limited initial public offering in July 1998 (IPO); and
  - to provide the SFC with detailed and accurate information during its investigation into the IPO.
- The SFC found that these matters impugned Fan's fitness and properness. In reaching its decision the SFC has taken into consideration Fan's previous clear record and her commitment to ensure the failings identified are not repeated.

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