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## SFC Successfully Prosecutes Chau King Nui, Helen for Contravening the Securities (Disclosure of Interests) Ordinance

3 Jun 2003

The SFC has successfully prosecuted Ms Chau King Nui, Helen, a director of Mainland Headwear Holdings Ltd (MHHL), under the Securities (Disclosure of Interests) Ordinance.

Chau today pleaded guilty to 12 summonses relating to her failure to report to Hong Kong Exchanges and Clearing Limited (HKEx) and to MHHL within five days from her disposal of 540,000 shares of MHHL from 18 July 2002 to 3 September 2002. Chau did not notify HKEx and MHHL of her disposals until 28 September 2002 and 26 November 2002 respectively.

Mr T S Jenkins, a Magistrate at Western Magistracy, fined Chau a total of \$18,000 and ordered her to pay costs of \$3,414 to the SFC.

Ends

Notes :

The securities disclosure of interests requirements are now provided for under Part XV of the Securities and Futures Ordinance.

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