
Enforcement Actions

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29 December 2005

SFC Prosecutes Wong Kam Yim for Contravening Part XV of the Securities and Futures Ordinance

The SFC has successfully prosecuted Mr Wong Kam Yim under Part XV of the Securities and Futures Ordinance for his failure to make timely disclosure of his interest in Yip's Chemical Holdings Limited (Yip's Chemical).

Wong today pleaded guilty to a summons in relation to his failure to make timely disclosure to the Stock Exchange of Hong Kong Limited (SEHK) of his disposal of Yip's Chemical shares on 18 January 2005 while he was an executive director of Yip's Chemical. Wong did not notify SEHK until 1 February 2005.

Mr Ian Candy, a Magistrate at Eastern Magistracy, fined Wong \$3,000 and ordered him to pay investigation costs of \$5,000 to the SFC.

Ends

Page last updated: 29 December 2005

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