

Enforcement News

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10 August 2006

SFC Prosecutes Mak Ka Bo Alex and Yip Kit Yin Angela for Misleading the SFC

The SFC has successfully prosecuted Mr Mak Ka Bo Alex and Ms Yip Kit Yin Angela for misleading the SFC.

Mak was the account executive of Yip who was identified as a suspected trader in an SFC market manipulation investigation.

The investigation found that both Mak and Yip had misled SFC investigators as to the true beneficial owner of the trading account under Yip's name.

Mak and Yip today pleaded guilty to one summons each before Mr Allan Wyeth, an Acting Principal Magistrate at Eastern Magistracy, for misleading the SFC. Mak was fined \$10,000 and Yip \$5,000. They were ordered to pay investigation costs totalling \$22,786 to the SFC.

Ends

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