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## Enforcement News

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13 July 2010

### High Court upholds conviction of unlicensed leveraged foreign exchange trader

The High Court today dismissed the appeal by Ms Choko Yeung Lai Ping against her conviction on 8 December 2009 in the Eastern Magistracy for unlicensed dealings in leveraged foreign exchange trading.

The Magistrate had earlier fined Yeung \$2,000 and ordered her to pay the Securities and Futures Commission (SFC)'s investigation costs (Note 1).

The SFC prosecuted Yeung following an investigation which revealed that Yeung opened a leveraged forex trading account for an investor with a Macau entity, Glory Sky Global Markets Investment Limited (Glory Sky Macau), and conducted leveraged forex trading for the investor while not licensed by the SFC, in breach of section 114 of the Securities and Futures Ordinance (Note 2).

End

Notes:

1. Please see [SFC's press release dated 8 December 2009](#) regarding Yeung's conviction.
2. Glory Sky Macau is neither licensed in Hong Kong nor in Macau to carry on leveraged foreign exchange trading.

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## 高等法院維持對無牌進行槓桿式外匯交易人士的定罪裁決

2010年7月13日

高等法院今天駁回楊麗萍（女）就東區裁判法院的裁決所提出的上訴。東區裁判法院於2009年12月8日裁定楊無牌進行槓桿式外匯交易罪名成立。

裁判官早前判處楊罰款2,000元，並須向證券及期貨事務監察委員會（證監會）繳付調查費（註1）。

證監會進行調查後向楊提出檢控。調查發現楊在未領有證監會牌照的情況下，為一名投資者於澳門註冊機構灝天環球市場投資有限公司（澳門灝天）開立槓桿式外匯交易帳戶，並為其進行槓桿式外匯交易，違反《證券及期貨條例》第114條（註2）。

完

備註：

1. 有關楊被法院定罪的詳情，請參閱證監會2009年12月8日的新聞稿。
2. 澳門灝天在香港及澳門均沒有就進行槓桿式外匯交易領有任何牌照。

最後更新日期：2012年8月1日