

Notice to compensation claimants

23 Sep 2015

The Securities and Futures Commission (SFC) today issues a notice to investors who were counterparties of convicted futures trader Tsoi Bun but have not come forward to receive compensation under an order made by the Court.

Tsoi was convicted of false trading and/or price rigging on the futures market. In 2014 he was ordered to pay over \$13 million into the Court to compensate each of the counterparties to his false trading and/or price rigging (Note 1).

Despite attempts by the Administrators to locate all counterparties, 207 investors have not come forward and about \$4.6 million remains unclaimed.

These counterparties are advised to follow the steps set out in the notice if they wish to receive compensation (Note 2).

End

Notes:

1. Please see the SFC's press release dated [14 January 2014](#).
2. A copy of the [notice](#) is available on the SFC website.

Page last updated : 23 Sep 2015



Final notice to compensation claimants

This is a notice to the people and companies listed in the Appendix, who were parties to one or more futures contracts conducted on the futures market between 2007 and 2009 in Hong Kong as set out in the Appendix.

The Court of First Instance, in a judgment dated 14 January 2014 (Note 1), held that Tsoi Bun contravened sections 295 and 296 of the *Securities and Futures Ordinance* by false trading and/or price rigging in relation to a large number of trades in the futures market between 2007 and 2009.

Under an order made by the Court in those proceedings, Tsoi paid HK\$13,688,950 into Court to compensate each counterparty to the futures contracts he bought or sold in the course of the unlawful futures trades. Tsoi's actions misled these counterparties to buy at artificially high or sell at artificially low prices.

Jackson Ip and James Wardell of Baker Tilly Hong Kong Restructuring and Recovery Limited were appointed as Administrators to pay compensation to the counterparties.

The bulk of the compensation has been paid to affected counterparties. However, despite several attempts by the Administrators to locate all counterparties, as at 23 September 2015, 207 investors have not yet been located to receive their compensation.

As at 19 March 2015, HK\$4,663,944.72 remained unclaimed. This sum has been paid into the Court.

If you were a party to any of these futures contracts, and you want to receive compensation, you should send a written request ("the Written Request") together with a copy of your Hong Kong Identity Card or passport (for individuals) / Business Registration or Certificate of Incorporation (for companies) to the Accounts Office of High Court (please refer to the contact details below). Alternatively, you can attend the Accounts Office in person with the written request and the copy of identification.

You should state the following information if you make a Written Request:

- Your full name (English and Chinese);
- Your mailing address and telephone number; and
- Quoting the case number "HCMP 1377/2009".

Below are the contact details of the Account Office of High Court:

Address:	LG2, High Court Building, 38 Queensway, Hong Kong
Telephone:	(852) 2825 4270
Fax:	(852) 2596 0512

This is a notice to the parties to the futures contracts to inform them about miscellaneous proceedings HCMP1377/2009, the recent development and other related matters. You must not collect or use any information in this notice in any other way or for any other purpose. To do so may contravene the *Personal Data (Privacy) Ordinance* and may be dealt with in accordance with Hong Kong law.

End



Note 1: Miscellaneous proceedings HCMP1377/2009

http://legalref.judiciary.gov.hk/lrs/common/search/search_result_detail_frame.jsp?DIS=91533&QS=%2B&TP=JU

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證監會向索償人發出的通知

2015年9月23日

證券及期貨事務監察委員會（證監會）今天向曾與已被定罪的期貨交易者蔡斌進行交易、但尚未前往領取根據法庭命令作出的賠償的投資者，發出通知。

蔡被裁定在期貨市場進行虛假交易及／或操控價格的罪名成立，並於2014年被勒令向法庭繳存逾1,300萬元，以便向其進行虛假交易及／或價格操控交易所牽涉的每名交易對手作出賠償（註1）。

管理人雖已嘗試聯絡所有交易對手，但仍有207名投資者尚未前往領取賠償，而未獲認領的款額約為460萬元。

有關交易對手如有意領取賠償，請依照該通知的指示辦理有關手續（註2）。

完

備註：

1. 請參閱證監會2014年1月14日的新聞稿。
2. 該通知的文本載於證監會網站。

最後更新日期：2015年9月23日



致索償人的最後通知

茲向附錄所列人士及公司發出本通知。該等人士及公司為曾參與附錄所列於 2007 至 2009 年間在香港期貨市場執行的一份或以上期貨合約交易的其中一方。

原訟法庭於 2014 年 1 月 14 日頒下判決（註 1），裁定蔡斌曾於 2007 至 2009 年間在期貨市場進行大量虛假交易及／或價格操控交易，違反了《證券及期貨條例》第 295 及 296 條。

根據法庭在有關法律程序中作出的命令，蔡向法院繳存了 13,688,950 港元，以便向他在該等不法期貨交易中買入或賣出的期貨合約的每名交易對手作出賠償。蔡的作為誤導了該等交易對手，令他們以人為設定的高價買入或以人為設定的低價賣出有關期貨合約。

天職香港重組及復資有限公司的葉昌盛及詹華達（James Wardell）獲委任為管理人，安排向有關交易對手支付賠償。

大部分賠償均已支付予受影響的交易對手。然而，管理人雖已數度嘗試聯絡所有交易對手，惟截至 2015 年 9 月 23 日止，仍有 207 名投資者因未聯絡上而尚未領取賠償。

截至 2015 年 3 月 19 日止，仍有 4,663,944.72 港元未獲認領。此筆款項已繳存予法庭。

閣下如為上述任何期貨合約的訂約方並有意領取賠償，應向高等法院會計部（聯絡詳情請參閱下文）寄發書面要求（“該書面要求”），並隨函附上（如屬個人）閣下的香港身份證或護照副本／（如屬公司）商業登記證或公司註冊證明書副本。此外，閣下亦可親身前往會計部遞交該書面要求及證明文件副本。

閣下提出該書面要求時，應同時提供下列資料：

- 閣下的中英文全名；
- 閣下的郵寄地址及電話號碼；及
- 註明案件編號“HCMP 1377/2009”。

高等法院會計部的聯絡詳情如下：

地址：	香港金鐘道 38 號高等法院大樓低層二樓（LG2）
電話：	(852) 2825 4270
傳真：	(852) 2596 0512

此乃向有關期貨合約訂約方發出的通知，藉此知會他們有關高院雜項案件 2009 年第 1377 號的情況、近期進展及其他相關事宜。閣下不得以任何其他方式或為任何其他目的收集或使用本通知內任何資料；違者可能觸犯《個人資料(私隱)條例》，並可依照香港法例究治。

完

註 1：高院雜項案件 2009 年第 1377 號

(http://legalref.judiciary.gov.hk/lrs/common/search/search_result_detail_frame.jsp?DIS=91533&QS=%2B&TP=JU)



Appendix to final notice to compensation claimants

No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
1	Abbey National	sell	HSIF9	95	29/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
2	Abbey National	sell	HSIF9	5	29/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
3	ADIA - DAVID MARSH	sell	HHIZ8	8	24/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
4	ADIA - DAVID MARSH	buy	HHIK9	18	20/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
5	ADIA - DAVID MARSH	buy	HHIK9	2	20/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
6	ALLEAUME STEPHANE	buy	HHIN9	25	29/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
7	ALLIANCEBERNSTEIN LP A/C ALLIANCEBERNSTEIN GLOBAL DIVERSIFIED STRATEGIES L.P. - HEDGE FUND B	buy	HSIF9	3	07/01/2009	Morgan Stanley Hong Kong Futures Limited
8	ALLIANCEBERNSTEIN LP A/C ALLIANCEBERNSTEIN GLOBAL DIVERSIFIED STRATEGIES LTD. - MASTER FUND B	buy	HSIF9	2	07/01/2009	Morgan Stanley Hong Kong Futures Limited
9	ALLIANCEBERNSTEIN LP A/C BERNSTEIN GLOBAL DIVERSIFIED PLUS HEDGE FUND L.P.	buy	HSIF9	9	07/01/2009	Morgan Stanley Hong Kong Futures Limited
10	American Beacon Master Trust	buy	HSIH9	1	25/02/2009	Goldman Sachs Futures (Asia) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
11	Andre Marsan	buy	HSIU7	1	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
12	Andre Marsan	buy	HSIU7	1	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
13	AQR Capital Management II LLC	buy	HHIM9	8	05/06/2009	Barclays Capital Asia Limited
14	AQR Capital Management II LLC	buy	HHIM9	2	10/06/2009	Barclays Capital Asia Limited
15	Asian Century Quest Smaller Companies Master Fund Ltd	sell	HHIM9	4	10/06/2009	Goldman Sachs Futures (Asia) Limited
16	ASSOCIATION DE BIENFAISANCE ET DE RETRAITE DES POLICIERS ET POLICIERES DE LA VILLE MONTREAL	buy	HSIN9	7	26/06/2009	Newedge Broker Hong Kong Limited (formerly Calyon Financial Hong Kong Limited)
17	Au Man Ki	sell	HSIZ8	1	17/12/2008	Hui Kai Futures Limited
18	Au Man Ki	sell	HSIZ8	1	17/12/2008	Hui Kai Futures Limited
19	Au Man Ki	sell	HSIG9	1	25/02/2009	Hui Kai Futures Limited
20	Au Young Ping	sell	HSIM9	7	10/06/2009	HSBC Broking Futures (Hong Kong) Limited
21	Au Young Ping	sell	HSIM9	10	11/06/2009	HSBC Broking Futures (Hong Kong) Limited
22	AXA Investment Managers UK Ltd	sell	HSIG9	20	25/02/2009	Goldman Sachs Futures (Asia) Limited
23	Bingkit Kwan	buy	HSIQ9	1	29/07/2009	Timber Hill Securities Hong Kong Limited
24	Blackrock Global Horizons I LP	sell	HSIN9	5	24/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
25	BNP (EU)	buy	HSIQ9	1	28/07/2009	HSBC Broking Futures (Hong Kong) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
26	BNP PARIBAS ARBITRAGE	buy	HHIJ9	40	26/03/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
27	BNP Paribas Arbitrage (Hong Kong) Limited	buy	HHIM9	6	10/06/2009	BNP Paribas Futures (Hong Kong) Limited
28	BT Investment Management (RE) Limited	buy	HHIJ9	2	26/03/2009	UBS Derivatives Hong Kong Limited
29	Campbell & Company	sell	HSIM9	16	26/06/2009	Deutsche Securities Asia Limited
30	Campbell & Company	buy	HSIN9	4	26/06/2009	Deutsche Securities Asia Limited
31	CAO PINGCHANG / Lau Chung Hang James / LIU Ming Yau	sell	HSIJ9	3	27/04/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
32	CDC FINANCE / CDC IXI	sell	HHIH9	2	26/03/2009	Newedge Broker Hong Kong Limited (formerly Calyon Financial Hong Kong Limited)
33	CHAN CHEE HO JONATHAN	sell	HSIM9	30	10/06/2009	Sun Hung Kai Commodities Limited
34	CHAN CHI CHUNG	sell	MHIM9	1	18/06/2009	Sun Hung Kai Commodities Limited
35	CHAN HO FAI / YAU CHI YING	sell	HSIM9	1	10/06/2009	Sun Hung Kai Commodities Limited
36	CHAN HON MAN	sell	HSIK9	3	20/05/2009	Phillip Commodities (HK) Limited
37	CHAN HON MAN	sell	HSIK9	3	20/05/2009	Phillip Commodities (HK) Limited
38	Chan Hung	buy	HHIU7	1	24/08/2007	KGI Futures (Hong Kong) Limited
39	Chan Hung	sell	HSIK9	1	19/05/2009	KGI Futures (Hong Kong) Limited
40	Chan Hung	buy	HSIN9	1	30/07/2009	KGI Futures (Hong Kong) Limited
41	CHAN Ip Wai	sell	HHIG7	1	14/02/2007	Haitong International Futures Limited (formerly Taifook Futures Limited)



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
42	CHAN KA LING	sell	MHIM9	1	18/06/2009	Bright Smart Futures & Commodities Company Limited
43	CHAN TAI SING	sell	HSIK9	3	20/05/2009	Sun Hung Kai Commodities Limited
44	CHAN TAI SING	sell	HSIM9	3	11/06/2009	Sun Hung Kai Commodities Limited
45	CHAN YUEN TUNG	sell	HSIG9	2	25/02/2009	Sun Hung Kai Commodities Limited
46	CHEN HAIQIU	sell	HSIM9	2	10/06/2009	Po Sang Futures Limited
47	CHENG CHUNG LING SIMONE	sell	HSIZ8	1	17/12/2008	Phillip Commodities (HK) Limited
48	CHENG CHUNG LING SIMONE	sell	HSIM9	1	11/06/2009	Phillip Commodities (HK) Limited
49	CHENG Yuk Ching	sell	HSIK9	1	20/05/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
50	CHEUNG KWAI CHI	sell	HSIZ8	1	17/12/2008	Celestial Commodities Limited
51	CHI YU QIN	sell	HHIH9	1	26/03/2009	Sun Hung Kai Commodities Limited
52	China Angel Fund (中國天使基金)	sell	HSIK9	2	20/05/2009	Guotai Junan Futures (Hong Kong) Limited
53	Chirin Capital LLP	buy	HHIZ8	1	17/12/2008	Goldman Sachs Futures (Asia) Limited
54	CHOY YAT TIM	buy	HSIM9	1	20/05/2009	Chief Commodities Limited
55	CHOY YAT TIM	buy	HSIQ9	1	29/07/2009	Chief Commodities Limited
56	Chu Cheuk Sun	buy	HSIU7	3	22/08/2007	HSBC Broking Futures (Hong Kong) Limited
57	CHU MAN WAH	buy	HHIZ8	2	17/12/2008	Kaiser Futures Limited
58	CHU MAN WAH	sell	HHIZ8	2	24/12/2008	Kaiser Futures Limited
59	CHU MAN WAH	sell	MHIM9	1	18/06/2009	Kaiser Futures Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
60	Citigroup Global Markets Financial Products LLC	buy	HHIJ9	15	14/04/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
61	Citigroup Global Markets Financial Products LLC	sell	HSIJ9	20	27/04/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
62	Citigroup Global Markets Financial Products LLC	sell	HSIJ9	9	27/04/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
63	Citigroup Global Markets Financial Products LLC	buy	HSIK9	5	27/04/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
64	Citigroup Global Markets Financial Products LLC	sell	HSIM9	20	26/06/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
65	Citigroup Global Markets Financial Products LLC	buy	HSIN9	9	26/06/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
66	Citigroup Global Markets Financial Products LLC	buy	HSIN9	10	26/06/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
67	CITIGROUP GLOBAL MARKETS HK FUTURES & SEC LTD	sell	HHIF9	5	31/12/2008	Sun Hung Kai Commodities Limited
68	CITIGROUP GLOBAL MARKETS HK FUTURES & SEC LTD	buy	HSIN9	2	26/06/2009	Sun Hung Kai Commodities Limited
69	Citigroup Global Markets Limited	sell	HSIM9	10	11/06/2009	Citigroup Global Markets Hong Kong Futures and Securities Limited
70	CLRAD ADIA - HAMED SHSHWAN	sell	HSIF9	2	29/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
71	COOPERNEFF MS 5FD (QUANT GLOBL EXP) RE COOPERNEFF ALT MGRS	sell	HSIQ7	5	22/08/2007	Lehman Brothers Futures Asia Limited
72	COUPLAND CARDIFF ASSET MANAGEMENT LLP A/C CC ASIA ABSOLUTE RETURN MASTER FUND LIMITED	sell	HHIQ7	8	24/08/2007	Morgan Stanley Hong Kong Futures Limited
73	Credit Suisse International - Proprietary Trading	sell	HSIQ7	30	22/08/2007	Credit Suisse Futures (Hong Kong) Limited
74	D QUANT SPECIAL OPPORTUNITIES FUND, LP	sell	HSIN9	2	24/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
75	Da Rosa Jose Augusto Maria JR.	sell	HHIG7	1	14/02/2007	East Asia Futures Limited
76	DB GED Prop London (L549)	sell	HHIH9	17	26/03/2009	Deutsche Securities Asia Limited
77	DB GED Prop London (L549)	sell	HHIH9	17	26/03/2009	Deutsche Securities Asia Limited
78	DB London Swaps (LS136)	sell	HHIU7	30	24/09/2007	Deutsche Securities Asia Limited
79	DB London Swaps (LS136)	sell	HHIV7	15	25/09/2007	Deutsche Securities Asia Limited
80	DB London Swaps (LS136)	sell	HHIH9	45	26/03/2009	Deutsche Securities Asia Limited
81	D'Best Futures Fund LP - Revolution Capital Management LLC	sell	HSIM9	3	10/06/2009	UBS Derivatives Hong Kong Limited
82	D'Best Futures Fund LP - Revolution Capital Management LLC	sell	HSIM9	8	11/06/2009	UBS Derivatives Hong Kong Limited
83	Deutsche Bank AG, London Branch	buy	HHIV7	14	24/09/2007	Deutsche Securities Asia Limited
84	Deutsche Bank AG, London Branch	buy	HHIU7	14	25/09/2007	Deutsche Securities Asia Limited
85	D'Private Futures Fund LP - Revolution Capital Management LLC	sell	HSIM9	11	10/06/2009	UBS Derivatives Hong Kong Limited
86	D'Private Futures Fund LP - Revolution Capital Management LLC	sell	HSIM9	31	11/06/2009	UBS Derivatives Hong Kong Limited
87	Dunn's Insurance - Revolution Capital Management LLC	sell	HSIM9	1	10/06/2009	UBS Derivatives Hong Kong Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
88	Dunn's Pension - Revolution Capital Management LLC	sell	HSIM9	4	10/06/2009	UBS Derivatives Hong Kong Limited
89	Dunn's Pension - Revolution Capital Management LLC	sell	HSIM9	10	11/06/2009	UBS Derivatives Hong Kong Limited
90	DWS Investment GmbH	buy	HHIU7	14	24/08/2007	Deutsche Securities Asia Limited
91	DZ Bank AG	buy	HSIH9	7	25/02/2009	UBS Derivatives Hong Kong Limited
92	EA Capital Pty Ltd ATF EA Family Trust - Edward Lee (Sole Director)	sell	HSIK9	6	19/05/2009	Macquarie Futures & Options (Hong Kong) Limited
93	Eastar Industry Limited	sell	HSIK9	20	20/05/2009	HSBC Broking Futures (Hong Kong) Limited
94	EFFICIENT TRADING LTD - 3rd WAVE	buy	HHIM9	4	10/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
95	eldorado discovery ltd	buy	HHIU7	2	25/09/2007	Timber Hill Securities Hong Kong Limited
96	eldorado discovery ltd	sell	HHIZ8	6	24/12/2008	Timber Hill Securities Hong Kong Limited
97	Employees Retirement System of Rhode Island	buy	HSIK9	3	27/04/2009	Goldman Sachs Futures (Asia) Limited
98	EQUITIES BUS GROUP DETAIL A/C	buy	HHIH7	14	14/02/2007	J.P. Morgan Broking (Hong Kong) Limited
99	EQUITIES BUS GROUP DETAIL A/C	sell	HSIQ9	3	30/07/2009	J.P. Morgan Broking (Hong Kong) Limited
100	ETS Yield Enhancement LTD	buy	HSIN9	3	26/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
101	EUROPEAN SICAV ALLIANCE ACTING - ON BEHALF & WITH REF TO ODIN FND F TRANS	buy	HHIV7	2	24/09/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
102	Fiducie Franca	buy	HSIU7	4	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
103	Fiducie Franca	buy	HSIU7	4	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
104	Fiducie Franca	buy	HSIU7	2	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
105	FINANCIERA INVERSIONISTA XANA	buy	HHIM9	4	04/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
106	FRANK RUSSELL COMPANY	sell	HSIF9	9	29/12/2008	Morgan Stanley Hong Kong Futures Limited
107	FUNG PIK KWAN	sell	HSIZ8	1	17/12/2008	Celestial Commodities Limited
108	FX CONCEPTS GLOBAL	buy	HSIM9	1	20/05/2009	Newedge Broker Hong Kong Limited (formerly Calyon Financial Hong Kong Limited)
109	GAM London Limited	buy	HHIJ9	32	26/03/2009	UBS Derivatives Hong Kong Limited
110	Geneva Trading USA LLC	buy	HSIM9	3	26/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
111	Gestion La Cevenole	buy	HSIU7	2	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited



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112	GLG PARTNERS LP	sell	HHIK9	50	19/05/2009	Morgan Stanley Hong Kong Futures Limited
113	GLG PARTNERS LP	buy	HSIQ9	3	28/07/2009	Morgan Stanley Hong Kong Futures Limited
114	GLOBAL ASCENT FUNDS LIMITED	buy	HHIZ8	1	17/12/2008	J.P. Morgan Broking (Hong Kong) Limited
115	Goldman Sachs International	sell	HHIZ8	3	29/12/2008	Goldman Sachs Futures (Asia) Limited
116	Gov Council of Univ of Toronto; Kaiser Permanente Master Trust; Frank Russel	buy	HSIM9	22	26/05/2009	Goldman Sachs Futures (Asia) Limited
117	GPC 71 LLC	sell	HSIM9	2	11/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
118	GRANTHAM MAYO VAN OTTERLOO & CO.	sell	HSIQ9	18	30/07/2009	J.P. Morgan Broking (Hong Kong) Limited
119	Hard Eight Futures LLC	sell	HSIZ8	5	17/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
120	Hard Eight Futures LLC	sell	HHIZ8	7	24/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
121	Hard Eight Futures LLC	buy	HHIG9	7	25/02/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
122	Hard Eight Futures LLC	buy	HHIG9	7	25/02/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
123	Hard Eight Futures LLC	buy	HHIG9	7	25/02/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
124	Hard Eight Futures LLC	buy	HHIK9	7	20/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
125	Hard Eight Futures LLC	sell	HSIK9	5	20/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
126	Hard Eight Futures LLC	sell	HHIN9	7	24/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
127	Hard Eight Futures LLC	sell	HHIN9	7	24/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
128	Henderson Fund Management PLC	buy	HSIH9	9	25/02/2009	UBS Derivatives Hong Kong Limited
129	HO CHING CHUNG	sell	HSIF9	2	29/12/2008	UOB Kay Hian Futures (Hong Kong) Limited
130	HSBC House Accounts (EU)	buy	HHIU7	10	25/09/2007	HSBC Broking Futures (Hong Kong) Limited
131	HSBC House Accounts (EU)	sell	HHIK9	30	26/05/2009	HSBC Broking Futures (Hong Kong) Limited
132	HSBC House Accounts (EU)	buy	HHIM9	26	04/06/2009	HSBC Broking Futures (Hong Kong) Limited
133	Hydro One Pension Plan	buy	HSIQ9	1	28/07/2009	Goldman Sachs Futures (Asia) Limited
134	Ignis Investment Service Limited	sell	HSIM9	20	10/06/2009	UBS Derivatives Hong Kong Limited
135	Integrated Core Strategies (Asia) Pte Limited	sell	HSIQ9	1	27/07/2009	Barclays Capital Asia Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
136	Integrated Core Strategies (Asia) Pte Limited	sell	HSIQ9	2	27/07/2009	Barclays Capital Asia Limited
137	Jean-Philippe Laflamme-Marsan	buy	HSIU7	1	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
138	Jeff & Leah Investment Limited	sell	HSIM9	1	05/06/2009	Guotai Junan Futures (Hong Kong) Limited
139	Jia Kun Huang	sell	HSIK9	2	26/05/2009	Timber Hill Securities Hong Kong Limited
140	Jia Kun Huang	sell	HSIM9	1	11/06/2009	Timber Hill Securities Hong Kong Limited
141	Jia Kun Huang	sell	HSIM9	2	11/06/2009	Timber Hill Securities Hong Kong Limited
142	JIAN XIAO HWA	sell	HSIN9	2	24/07/2009	Sun Hung Kai Commodities Limited
143	JIM CHUNG FAI	sell	HSIM9	2	10/06/2009	China Everbright Forex & Futures (HK) Limited
144	JP Morgan Chase Bank Hong Kong	sell	HSIQ7	26	22/08/2007	UBS Derivatives Hong Kong Limited
145	Jumin Wang	sell	HSIZ8	1	17/12/2008	Timber Hill Securities Hong Kong Limited
146	KAS CLEARING DERIVATEN N.V	buy	HSIQ9	20	24/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
147	KU KAM	sell	HSIJ9	1	27/04/2009	Cinda International Futures Limited (formerly HT Futures Limited)
148	KWAN CHUNG WOON	sell	MHIM9	1	18/06/2009	South China Commodities Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
149	Kwan Weng Pui	sell	HSIK9	1	26/05/2009	Excalibur Global Financial Group Limited (formerly Excalibur Futures Limited)
150	Kwan Weng Pui	sell	HSIK9	1	26/05/2009	Excalibur Global Financial Group Limited (formerly Excalibur Futures Limited)
151	KWOK, Man Sin Coby	buy	HSIF9	1	07/01/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
152	Kwong Yick Chor	sell	HHIG7	1	14/02/2007	Topmore Commodities Limited
153	La Crau Inc.	buy	HSIU7	2	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
154	Lam Hau Chung	sell	HHIZ8	1	29/12/2008	Excalibur Global Financial Group Limited (formerly Excalibur Futures Limited)
155	Lam Tung Lui Nana	buy	HSIF9	20	07/01/2009	Get Nice Futures Company Limited
156	Landesbank Baden Württemberg Luxembourg branch	buy	HSIK9	3	27/04/2009	UBS Derivatives Hong Kong Limited
157	Landesbank Baden Württemberg Luxembourg branch	buy	HHIK9	28	20/05/2009	UBS Derivatives Hong Kong Limited
158	LEE CHI HUNG	buy	HHIU7	1	25/09/2007	Sun Hung Kai Commodities Limited
159	Lee Wing Cheong	sell	HSIG9	1	25/02/2009	KGI Futures (Hong Kong) Limited
160	LEUNG BING YIU	sell	HSIN9	1	24/07/2009	Corporate Commodities Limited
161	LEUNG Kum Lai	buy	HHIM9	1	05/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
162	LEUNG YIN CHAU	buy	HSIN9	1	26/06/2009	BOCOM International Securities Limited
163	LEUNG YIN CHAU	buy	HSIN9	1	26/06/2009	BOCOM International Securities Limited
164	Li Tingzhan	sell	HHIZ8	7	29/12/2008	KGI Futures (Hong Kong) Limited
165	Li Xiaosheng	sell	HSIM9	1	11/06/2009	Excalibur Global Financial Group Limited (formerly Excalibur Futures Limited)
166	LIANG HUIYAN	sell	HHIH9	1	26/03/2009	VC Futures Limited
167	LIU Huichang (劉惠昌)	buy	HHIQ9	1	31/07/2009	Guotai Junan Futures (Hong Kong) Limited
168	LOONG HO SANG ANTHONY	sell	HHIG7	1	14/02/2007	OSK Futures Hong Kong Limited (formerly OSK Asia Futures Limited)
169	LOONG HO SANG ANTHONY	sell	HHIN9	1	24/07/2009	OSK Futures Hong Kong Limited (formerly OSK Asia Futures Limited)
170	LUO KAI XING	buy	HSIF9	2	07/01/2009	BOCI Securities Limited
171	M &G Investment Management	buy	HSIF9	20	07/01/2009	UBS Derivatives Hong Kong Limited
172	M &G Investment Management	buy	HSIF9	19	07/01/2009	UBS Derivatives Hong Kong Limited
173	MAK CHIU YEE	buy	HHIN9	3	29/07/2009	DBS Vickers (Hong Kong) Limited
174	MAK SHU KUEN	buy	HHIQ9	1	31/07/2009	Prudential Index Trading Company Limited
175	Maple Leaf Capital LLP	sell	HHIZ8	10	24/12/2008	Hui Kai Futures Limited
176	Maple Leaf Capital LLP	buy	HSIQ9	2	28/07/2009	Hui Kai Futures Limited
177	Marble Bar	sell	HHIN9	27	24/07/2009	Deutsche Securities Asia Limited
178	Marex Financial Ltd	buy	HHIF9	17	24/12/2008	Barclays Capital Asia Limited
179	Marex Financial Ltd	buy	HHIF9	14	24/12/2008	Barclays Capital Asia Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
180	Marex Financial Ltd	buy	HHIF9	15	24/12/2008	Barclays Capital Asia Limited
181	Marex Financial Ltd	buy	HHIF9	25	24/12/2008	Barclays Capital Asia Limited
182	Marex Financial Ltd	buy	HHIF9	4	24/12/2008	Barclays Capital Asia Limited
183	Marex Financial Ltd	buy	HHIF9	18	29/12/2008	Barclays Capital Asia Limited
184	Marex Financial Ltd	buy	HHIF9	22	29/12/2008	Barclays Capital Asia Limited
185	Marex Financial Ltd	buy	HHIF9	28	29/12/2008	Barclays Capital Asia Limited
186	MELCHIOR SELECTED TRUST - ASIAN GLOBAL BALANCED FUND	sell	HHIZ8	2	24/12/2008	J.P. Morgan Broking (Hong Kong) Limited
187	MERRILL LYNCH INTERNATIONAL (PROPRIETARY)	buy	HHIU7	28	25/09/2007	Merrill Lynch Futures (Hong Kong) Limited
188	Lawrence Chiu / MF Global UK Limited	sell	HSIM9	1	26/06/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
189	Lawrence Chiu / MF Global UK Limited	sell	HSIM9	1	26/06/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
190	MF Global UK Limited	buy	HSIU7	4	22/08/2007	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
191	MF Global UK Limited	buy	HSIU7	1	22/08/2007	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
192	MF Global UK Limited	buy	HSIH9	90	25/02/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
193	MF Global UK Limited	buy	HSIH9	94	25/02/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
194	MF Global UK Limited	buy	HSIH9	5	25/02/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
195	MF Global UK Limited	buy	HHIJ9	2	14/04/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
196	MF Global UK Limited / Lawrence Chiu	buy	HSIN9	1	26/06/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
197	MF Global UK Limited / Lawrence Chiu	buy	HSIN9	1	26/06/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
198	MF Global UK Limited / Lawrence Chiu	buy	HSIN9	1	26/06/2009	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
199	MF Global UK Limited	sell	HHIZ8	8	29/12/2008	MF Global Hong Kong Limited (formerly MF Global Futures HK Limited)
200	MO Kam Yee	sell	HHIU7	1	24/09/2007	Christfund Futures Limited
201	MO Kam Yee	sell	HHIV7	1	25/09/2007	Christfund Futures Limited
202	Moore Capital Management LLC	buy	HHIM9	28	05/06/2009	Merrill Lynch Futures (Hong Kong) Limited
203	Moore Capital Management, LP	buy	HHIJ9	2	26/03/2009	UBS Derivatives Hong Kong Limited
204	Moore Capital Management, LP	sell	HHIN9	12	24/07/2009	UBS Derivatives Hong Kong Limited
205	Moore Capital Management, LP	sell	HSIN9	49	24/07/2009	UBS Derivatives Hong Kong Limited
206	MORGAN STANLEY AND CO. INTERNATIONAL PLC	sell	HHIH9	10	26/03/2009	Morgan Stanley Hong Kong Futures Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
207	MORGAN STANLEY AND CO. INTERNATIONAL PLC	buy	HHIM9	10	04/06/2009	Morgan Stanley Hong Kong Futures Limited
208	NATEXIS ASSET MANAGEMENT	sell	HHIK9	2	19/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
209	NATIXIS	sell	HHIZ8	5	24/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
210	NATIXIS	buy	HHIM9	10	05/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
211	NATIXIS	buy	HHIM9	5	11/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
212	Ng Lai Kar	buy	HHIQ9	2	31/07/2009	Okachi (Hong Kong) Company Limited
213	Ng Siu Kwan	sell	HSIK9	3	19/05/2009	KGI Futures (Hong Kong) Limited
214	Ng Ying Hang	sell	HSIQ9	2	27/07/2009	BOCI Securities Limited
215	NGAN Tsang Fai	sell	HSIM9	2	10/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
216	NGAN Tsang Fai	sell	HSIM9	1	10/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
217	NGAN Tsang Fai	buy	HSIM9	6	18/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
218	NIAS FUTURES FUND LTD	sell	HSIG9	1	25/02/2009	J.P. Morgan Broking (Hong Kong) Limited
219	NIAS FUTURES FUND LTD	buy	HSIK9	18	27/04/2009	J.P. Morgan Broking (Hong Kong) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
220	NIAS FUTURES FUND LTD	sell	HHIN9	5	24/07/2009	J.P. Morgan Broking (Hong Kong) Limited
221	NIAS FUTURES FUND LTD	sell	HSIN9	10	24/07/2009	J.P. Morgan Broking (Hong Kong) Limited
222	NIAS FUTURES FUND LTD	sell	HSIN9	40	24/07/2009	J.P. Morgan Broking (Hong Kong) Limited
223	NIAS FUTURES FUND LTD	buy	HSIQ9	23	29/07/2009	J.P. Morgan Broking (Hong Kong) Limited
224	NIAS FUTURES FUND LTD	sell	HSIQ9	10	31/07/2009	J.P. Morgan Broking (Hong Kong) Limited
225	Nomura Trust and Banking Co., Ltd	sell	HSIF9	3	29/12/2008	Barclays Capital Asia Limited
226	Nuveen Investment Funds	buy	HHIM9	6	05/06/2009	Goldman Sachs Futures (Asia) Limited
227	OCBC SECURITIES PTE LTD	sell	HSIZ8	1	17/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
228	OCBC SECURITIES PTE LTD	sell	HSIN9	1	28/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
229	OCBC SECURITIES PTE LTD	sell	HSIN9	2	28/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
230	Oregon Public Employees; Shee Pension Trust; Hydro One Pension Plan	buy	HSIQ9	2	28/07/2009	Goldman Sachs Futures (Asia) Limited
231	PAN YING JUN	sell	HHIG7	1	14/02/2007	First Shanghai Futures Limited
232	PNC Funds - PNC Interantional	buy	HSIN9	1	26/06/2009	Goldman Sachs Futures (Asia) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
233	Propex Derivatives Pty Ltd.	sell	HHIK9	2	19/05/2009	ABN AMRO Clearing Hong Kong Limited (formerly Fortis Clearing Hong Kong Limited and Fortis Clearing (Options) Hong Kong Limited)
234	QUEK CHEK JEONG @KHO GHIM HENG	buy	HSIK9	1	27/04/2009	Phillip Commodities (HK) Limited
235	RAND FINANCIAL SERVICES INC	sell	HSIF9	5	29/12/2008	Newedge Broker Limited / Newedge Financial Hong Kong Limited
236	Redwood China Master Fund	buy	HHIJ9	50	14/04/2009	Deutsche Securities Asia Limited
237	ROSENTHAL COLLINS GROUP L.L.C.	buy	HSIQ9	1	28/07/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
238	Rotella Investments A LLC	sell	HSIM9	3	11/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
239	RUSSELL IMPLEMENTATION SERVICES, INC. A/C OHIO SCHOOL EMPLOYEES RETIREMENT SYSTEM	buy	HSIK9	1	27/04/2009	Morgan Stanley Hong Kong Futures Limited
240	RUSSELL INVESTMENT MANAGEMENT COMPANY A/C MULTI-STYLE MULTI-MANAGER FUNDS PLC-THE PACIFIC BASIN (EX JAPAN) EQUITY FUND	sell	HSIJ9	2	27/04/2009	Morgan Stanley Hong Kong Futures Limited
241	RUSSELL INVESTMENT MANAGEMENT COMPANY A/C MULTI-STYLE MULTI-MANAGER FUNDS PLC-THE PACIFIC BASIN (EX JAPAN) EQUITY FUND	buy	HHIM9	1	05/06/2009	Morgan Stanley Hong Kong Futures Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
242	RUSSELL INVESTMENT MANAGEMENT COMPANY A/C MULTI-STYLE MULTI-MANAGER FUNDS PLC-THE PACIFIC BASIN (EX JAPAN) EQUITY FUND RUSSELL INVESTMENT MANAGEMENT COMPANY A/C RUSSELL INVESTMENT COMPANY PLC-THE PACIFIC BASIN EQUITY FUND	buy	HHIK9	5	20/05/2009	Morgan Stanley Hong Kong Futures Limited
243	RUSSELL INVESTMENT MANAGEMENT COMPANY A/C RUSSELL INVESTMENT COMPANY PLC-THE PACIFIC BASIN EQUITY FUND	sell	HSIN9	6	24/07/2009	Morgan Stanley Hong Kong Futures Limited
244	SEI GLBL MASTER FUND PL	buy	HSIH9	3	25/02/2009	J.P. Morgan Broking (Hong Kong) Limited
245	SEI INSTITUTIONAL INVESTMENT T	sell	HSIQ9	2	30/07/2009	J.P. Morgan Broking (Hong Kong) Limited
246	SHIU KA HING	sell	HSIZ8	1	17/12/2008	Bright Smart Futures & Commodities Company Limited
247	SHIU KA HING	sell	HSIG9	4	25/02/2009	Bright Smart Futures & Commodities Company Limited
248	SHIU KA HING	sell	HSIM9	1	10/06/2009	Bright Smart Futures & Commodities Company Limited
249	SHIU KA HING	sell	HSIM9	1	11/06/2009	Bright Smart Futures & Commodities Company Limited
250	Sinopia HGIF Bric Mkt EQ (EU)	buy	HHIH7	45	14/02/2007	HSBC Broking Futures (Hong Kong) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
251	Sinopia HGIF Bric Mkt EQ (EU)	buy	HHIH7	22	14/02/2007	HSBC Broking Futures (Hong Kong) Limited
252	Sinopia HGIF Bric Mkt EQ (EU)	sell	HHIK9	30	19/05/2009	HSBC Broking Futures (Hong Kong) Limited
253	SO YIU WAH	buy	HSIQ9	2	28/07/2009	China Everbright Forex & Futures (HK) Limited
254	Societe Generale	sell	HHIZ8	1	24/12/2008	SG Securities (HK) Limited
255	Societe Generale	buy	HHIG9	10	25/02/2009	SG Securities (HK) Limited
256	Societe Generale	buy	HHIG9	14	25/02/2009	SG Securities (HK) Limited
257	Societe Generale	sell	HSIG9	8	25/02/2009	SG Securities (HK) Limited
258	Societe Generale	sell	HSIQ9	10	31/07/2009	SG Securities (HK) Limited
259	STANDARD CHARTERED BANK	buy	HSIK9	2	27/04/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
260	Su Guangming	sell	HSIJ9	2	27/04/2009	HSBC Broking Futures (Hong Kong) Limited
261	SUPERFUND RED MASTER SPC	sell	HSIF9	43	29/12/2008	J.P. Morgan Broking (Hong Kong) Limited
262	SUPERFUND RED MASTER SPC	buy	HHIM9	1	11/06/2009	J.P. Morgan Broking (Hong Kong) Limited
263	Sylvain Harvey	buy	HSIU7	1	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
264	Tewksbury Investment Fund Ltd	sell	HHIZ8	5	29/12/2008	Credit Suisse (Hong Kong) Limited
265	Tewksbury Investment Fund Ltd	sell	HSIK9	2	19/05/2009	Credit Suisse (Hong Kong) Limited
266	TEWKSBURY INVESTMENT FUND LTD	sell	HHIN9	12	24/07/2009	J.P. Morgan Broking (Hong Kong) Limited
267	TEWKSBURY INVESTMENT FUND LTD.	sell	HHIF9	1	31/12/2008	J.P. Morgan Broking (Hong Kong) Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
268	TEWKSBURY INVESTMENT FUND LTD.	sell	HHIF9	11	31/12/2008	J.P. Morgan Broking (Hong Kong) Limited
269	TEWKSBURY INVESTMENT FUND LTD.	sell	HSIN9	35	24/07/2009	J.P. Morgan Broking (Hong Kong) Limited
270	TOH HOCK SING	sell	HSIN9	5	24/07/2009	Phillip Commodities (HK) Limited
271	Toji Trading Pte Limited	buy	HSIF9	1	07/01/2009	Fortis Clearing (Futures) Hong Kong Limited
272	Toji Trading Pte Limited	buy	HSIF9	1	07/01/2009	Fortis Clearing (Futures) Hong Kong Limited
273	Toji Trading Pte Limited	sell	HSIK9	1	19/05/2009	Fortis Clearing (Futures) Hong Kong Limited
274	Toji Trading Pte Limited	sell	HSIK9	1	19/05/2009	Fortis Clearing (Futures) Hong Kong Limited
275	Top Mirror Limited	sell	HHIF9	1	31/12/2008	Glory Sky Global Markets Limited
276	TSANG Yin Ming Ricky	buy	HHIM9	1	26/05/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
277	TSANG Yin Ming Ricky	sell	MHIM9	1	18/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
278	Tudor Investment Corporation	sell	HSIM9	33	10/06/2009	Credit Suisse (Hong Kong) Limited
279	Tudor Investment Corporation	buy	HSIQ9	19	29/07/2009	Credit Suisse (Hong Kong) Limited
280	UBI PRAMERICA AZIONI GLOBALI	buy	HSIH9	7	25/02/2009	J.P. Morgan Broking (Hong Kong) Limited
281	UBI PRAMERICA AZIONI GLOBALI	buy	HSIK9	1	27/04/2009	J.P. Morgan Broking (Hong Kong) Limited
282	UBS AG LONDON BRANCH (Principal)	buy	HHIV7	84	24/09/2007	UBS Derivatives Hong Kong Limited
283	UBS AG LONDON BRANCH (Principal)	buy	HHIU7	15	25/09/2007	UBS Derivatives Hong Kong Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
284	UBS AG LONDON BRANCH (Principal)	sell	HSIN9	15	24/07/2009	UBS Derivatives Hong Kong Limited
285	UBS Luxembourg SA	buy	HSIN9	4	26/06/2009	UBS Derivatives Hong Kong Limited
286	UBS SECURITIES LLC clearing for WOODBINE CAPITAL ADVISORS	buy	HSIM9	20	26/05/2009	J.P. Morgan Broking (Hong Kong) Limited
287	UBS SECURITIES LLC clearing for WOODBINE CAPITAL ADVISORS	sell	HSIQ9	8	31/07/2009	J.P. Morgan Broking (Hong Kong) Limited
288	Union-UBP Global	buy	HHIM9	8	05/06/2009	Goldman Sachs Futures (Asia) Limited
289	United Overseas Bank Limited	sell	HSIG9	1	25/02/2009	Barclays Capital Asia Limited
290	VALU TRAC STRATEGIC MASTER FUND	buy	HSIU7	22	22/08/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
291	Victoria Fund Acq LP	sell	HHIM9	8	10/06/2009	Goldman Sachs Futures (Asia) Limited
292	VITALOON INC - TRANSTREND	sell	HHIU7	1	24/09/2007	Newedge Broker Limited / Newedge Financial Hong Kong Limited
293	VODAFONE GROUP PENSION SCHEME	buy	HSIK9	2	27/04/2009	J.P. Morgan Broking (Hong Kong) Limited
294	VODAFONE GROUP PENSION SCHEME	buy	HSIK9	15	27/04/2009	J.P. Morgan Broking (Hong Kong) Limited
295	WADHWANI ASSET MGT LLP	sell	HSIK9	6	26/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
296	WADHWANI ASSET MGT LLP	buy	HSIM9	6	26/05/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
297	WAN JAMES GORDON	sell	HHIU7	1	24/09/2007	Celestial Commodities Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
298	West Mac Limited	buy	HSIN9	11	26/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
299	West Mac Limited	sell	HSIM9	8	10/06/2009	Newedge Broker Limited / Newedge Financial Hong Kong Limited
300	WONG KONG FAI	sell	HSIM9	1	11/06/2009	Phillip Commodities (HK) Limited
301	WONG YIU HUNG GARY	buy	HSIN9	1	26/06/2009	Sun Hung Kai Commodities Limited
302	Wu Siu Fong	buy	HHIG9	1	25/02/2009	Glory Sky Global Markets Limited
303	Xiao Zhijian	sell	HHIU7	1	24/09/2007	Fulbright Futures Limited
304	Xu Qun Hua	buy	HSIQ9	1	24/07/2009	BOCI Securities Limited
305	YAU FAN	sell	HHIG7	2	14/02/2007	Celestial Commodities Limited
306	YAU FAN	sell	HSIK9	1	19/05/2009	Phillip Commodities (HK) Limited
307	YIN Honghai (殷紅海)	sell	HHIH9	1	26/03/2009	Guotai Junan Futures (Hong Kong) Limited
308	YIP TAK SHIU	buy	HHIU7	2	25/09/2007	OSK Futures Hong Kong Limited (formerly OSK Asia Futures Limited)
309	Zhang Man Yong, Regina	sell	HSIM9	1	26/06/2009	Kingston Futures Limited
310	Zhang Man Yong, Regina	sell	HSIN9	3	24/07/2009	Kingston Futures Limited
311	ZHU YIGUO	sell	HSIK9	1	20/05/2009	Sun Hung Kai Commodities Limited
312	ZHU YIGUO	sell	HSIM9	1	11/06/2009	Sun Hung Kai Commodities Limited
313	姚崇治	sell	HSIK9	4	20/05/2009	KGI Futures (Hong Kong) Limited
314	孫剛	buy	HHIU7	1	25/09/2007	Sun Hung Kai Commodities Limited



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No.	Name of party	Buy / Sell	Name of futures contract	No. of contract	Trade date	Name of brokers
315	李明	buy	HHIQ9	1	31/07/2009	China Merchants Futures (HK) Co., Limited
316	李見亮	sell	HSIM9	1	11/06/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
317	杜金國	sell	HSIQ9	1	30/07/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
318	梁平心	sell	HSIK9	1	19/05/2009	Grand Investment (Futures) Limited
319	梁雲竹	sell	HHIU7	2	24/09/2007	Grand Investment (Futures) Limited
320	潘青	sell	HSIG9	1	25/02/2009	Haitong International Futures Limited (formerly Taifook Futures Limited)
321	童務輝	sell	MHIM9	1	18/06/2009	KGI Futures (Hong Kong) Limited
322	童勝輝	sell	MHIM9	1	18/06/2009	KGI Futures (Hong Kong) Limited
323	謝桂生	sell	HSIK9	1	20/05/2009	KGI Futures (Hong Kong) Limited
324	譚炳根	sell	HSIN9	5	24/07/2009	Grand Investment (Futures) Limited