Home ▶ News & announcements ▶ News ▶ Enforcement news

SFC Prosecutes Liang Jin You for Contravening the Securities (Disclosure of Interests) Ordinance

8 May 1997

The Securities and Futures Commission ('SFC') announced today that it had successfully prosecuted Mr Liang Jin You ('Liang'), a substantial shareholder of China United Holdings Limited ('China United'), for contravening Section 15(3)(a) of the Securities (Disclosure of Interests) Ordinance ('DIO').

Liang pleaded guilty to a summons before Ms Polly Lo at Western Magistracy on 6 May 1997 for failing on one occasion in May 1996 to notify the Stock Exchange of Hong Kong Limited within five days of his acquiring an interest in 30,000,000 shares in China United and such acquisition having caused Liang to have acquired a notifiable interest in the shares of China United, i.e. more than 10% shareholding.

Liang was fined \$7,000 and ordered to pay costs of \$3,253 to the SFC.

Page last updated: 1 Aug 2012

主頁 ▶ 新聞稿及公布 ▶ 新聞稿 ▶ 執法消息

證監會檢控梁金友,指其違反《證券(公開權益)條例》

1997年5月8日

證券及期貨事務監察委員會("證監會")今天公布,該會成功檢控華匯控股有限公司("華歷")一名大股東梁金友("梁氏"),指其違反《證券(公開權益)條例》第15(3)(a)條。

梁氏在1997年5月6日向西區裁判法院裁判法官盧恒福承認1項控罪。該項控罪指梁氏在1996年5月期間,曾一度獲取合共30,000,000股華匯的股份權益,令致其持有的華匯股份權益總數,達致須予具報的水平(即10%以上的持股量),但在有關情況出現後的5天內,梁氏未有就有關事宜通知香港聯合交易所有限公司。

梁氏被判罰款7,000元及須向證監會支付調查費用3,253元。

最後更新日期: 2012年8月1日