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## SFC Reprimands Chiu Chi Hung Robert

24 Sep 1998

The Securities and Futures Commission announced today that it had publicly reprimanded Mr Chiu Chi Hung Robert (Chiu) in respect of his registration as a dealing director under the Securities Ordinance.

The reprimand stems from an inquiry in which the SFC found that in September 1997, Chiu, a dealing director with Harvester (Securities) Company Ltd, had improperly signed as a witness to a client's signature on a client agreement and a margin agreement when he had in fact not been present when the client had signed the agreements.

While the SFC accepts that Chiu has co-operated fully in its inquiry and that he has acknowledged his error, an SFC spokesman said that its findings impugned Chiu's efficiency, fairness and reliability. The SFC therefore decided to publicly reprimand him.

*For further information, please contact Bill Weeks at 2840-9289 or Chan Chi-keung at 2842-7624.*

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Page last updated : 1 Aug 2012

## 證監會公開譴責趙志鴻

1998年9月24日

證券及期貨事務監察委員會今天公布，該會已就趙志鴻（趙氏）根據《證券條例》註冊的交易董事資格作出公開譴責。

事源證監會的一項調查發現，趙氏身為豐誠證券有限公司交易董事，於1997年9月曾不恰當地以客戶簽名見證人的身分，在一名客戶的客戶協議書及保證金客戶協議書上簽署，但事實上當有關客戶簽署該等文件時，趙氏並不在場。

雖然證監會接納趙氏在有關查訊中充份合作，並承認錯誤，但證監會發言人表示，有關的調查結果令人質疑趙氏的辦事能力、公正處事態度和可靠程度，因此決定對其作出公開譴責。

如有進一步查詢，請致電聯絡韋克志（2840 - 9289）或陳志強（2842 - 7624）。

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