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SFC Revokes the Registration of Leung Wai Ting Demon

4 Feb 1999

The Securities and Futures Commission announced today that it had revoked the registration of Mr Leung Wai Ting, Demon (Leung) in respect of his registration as a dealer's representative under the Securities Ordinance.

The revocation stems from an inquiry in which the SFC found that Leung had, whilst a dealer's representative of Ng Yat Hon trading as Ling Co, misappropriated approximately \$3 million that his clients had given him to purchase shares on their behalf. It was also found that Leung had fabricated statements of accounts and issued them to clients so as to mislead the clients as to the state of their accounts.

An SFC spokesman said that the gross abuse of trust warranted the revocation of Leung's registration.

Page last updated : 1 Aug 2012

證監會撤銷梁偉庭的註冊資格

1999年2月4日

證券及期貨事務監察委員會今天公布，本會已撤銷梁偉庭（梁氏）作為證券交易商代表的註冊資格。

上述行動源自證監會的一宗調查。該宗調查發現梁氏作為吳一翰（以榮業證券公司的名義經營業務）的證券交易商代表的期間，曾挪用款項約3,000,000元，而該筆款項原本為其客戶給予梁氏委託其代為購買股份的資金。此外，該宗調查亦發現，梁氏曾捏造帳戶結單，並發出該等結單予其客戶，藉此就該批客戶的帳戶狀況提供誤導資料。

證監會的發言人表示，基於梁氏的行為嚴重違反信託，因此有必要撤銷其註冊資格。

最後更新日期：2012年8月1日