

Enforcement Actions

10 October 1999

SFC Publicly Reprimands Mr Lam Wai Hung Percy

The Securities and Futures Commission (SFC) announces today that it publicly reprimands Mr Lam Wai Hung Percy (Lam), a registered dealer's representative under the Securities Ordinance (SO).

The reprimand is the result of an inquiry under section 56 of the SO which revealed that during the period 8 January 1998 to 23 January 1998, Lam performed the functions of a dealer's representative of Lei Shing Hong Securities Limited whilst unregistered.

The SFC concluded that Lam's fitness and properness had been impugned.

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