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## Winnie Wu Man Ying Prosecuted for Misleading SFC

2 Dec 1999

Ms Winnie Wu Man Ying (Wu) pleaded guilty at Western Magistracy on 29 November 1999 to contravening section 74 of the Crimes Ordinance in that she, in support of her application to become a licensed representative under the leveraged Foreign Exchange Trading Ordinance (LEFTO), tendered a copy of a false certificate. Wu was sentenced to 3 months imprisonment, suspended for 2 years.

The offence came to light following an investigation commenced by the SFC and concluded by the Criminal Investigation Department of Waterfront Police Station. In her application to become a licensed representative accredited to Tse's Forex Investment Company Limited, Wu submitted to the SFC a copy of a false Hong Kong Certificate of Education Examination, inducing the SFC to accept it as a copy of a genuine instrument.

Page last updated : 1 Aug 2012

## 鄔敏英因誤導證監會而遭檢控

1999年12月2日

1999年11月29日，鄔敏英(鄔氏)在西區裁判法院承認其在根據《槓桿式外匯買賣條例》申請作為持牌代表時，為了支持其申請，曾提交一份偽造證明書的副本，因而觸犯《刑事罪行條例》第74條。鄔氏被判處監禁3個月，緩刑2年。

該項罪行經證監會展開調查後被揭發，最後由海傍警署刑事調查隊接手完成有關調查。鄔氏在申請作為隸屬於謝氏外匯投資有限公司的持牌代表時，向證監會提交一份偽造的香港中學會考證書的副本，藉以誘使證監會接納該副本為一份真確文書的副本。

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